中國銀行 印度分行
BANK OF CHINA INDIA BRANCH

Application for Customer Onboarding, Account Opening & FATCA / CRS Declaration

Bank of China Limited, 4th Floor, 4th North Avenue,
Maker Maxity, BKC, Bandra (East),
Mumbai - 400 051

中国银行 BANK OF CHINA 印度分行 INDIA BRANCH

Application for Customer Onboarding, Account Opening and FATCA / CRS Declaration

Branch: ________________ Date D D M M Y Y Y Y

Type of account: ☐ Term Deposit ☐ Current ☐ EEFC ☐ Escrow ☐ Others (Please specify): ____________________ Currency Code

APPLICANT DETAILS

Entity Name:

Is existing customer ☐ Yes ☐ No If yes, CIF ID

PAN TIN

GSTIN / UIN Excise No.

TAN TIN Issuing Country Code

CKYC Number (If any)

Account Holder Type ☐ US Reportable ☐ Other Reportable

Number of controlling person(s) resident outside India for tax purposes

(Please provide details of each Controlling Person resident outside India for Tax purposes separately below in Details of Controlling Persons section)

Registration/CIN No

Date of Incorporation/Registration D D M M Y Y Y Y

Place/City of Incorporation/Registration

Country of Incorporation/Registration

Constitution (Tick ✓ Mark):

☐ Proprietorship ☐ Partnership ☐ Private Ltd Co. ☐ Public Ltd Co. ☐ Institution

☐ Other Financial Institution ☐ Self Help Group ☐ Financial Service Co. ☐ Section 25 Company ☐ Public Sector Bank

☐ Private Sector Bank ☐ Trust ☐ Others *(Please specify):* ______________

CUSTOMER PROFILE

Nature Of Business:

☐ Service Provider ☐ Manufacturing ☐ Real Estate ☐ Trading (Retail/wholesale)

☐ Agri ☐ Transport ☐ Trust ☐ Others *(Please specify):* ____________________

Occupation Code **Annual Turnover (Actual)** ____________________ **Networth (Actual)** ____________________

Whether Involved In: ☐ Export ☐ Import If involved in Import / Export; IEC: IEC copy to be attached

Communication Address:

Address since Y Y Y (years)

Address Type ☐ Residential ☐ Business ☐ Registered Office ☐ Others *(Please specify):* ______________

Line 1

Line 2

Landmark City

Pin Code State/U.T. Code Country ISO 3166 Country Code

Tel. No. Fax No.

Email ID

Whether Office: ☐ Owned ☐ Leased ☐ Rented Proof of Address: ☐ Certificate of Incorporation / Formation ☐ Registration Certificate ☐ Others ________

Registered Office address:

Address since Y Y Y (years) ☐ Same as Communication Office Address

Line 1

Line 2

Landmark City

Pin Code State/U.T. Code Country ISO 3166 Country Code

Tel. No. Fax No.

Email ID

Whether Office: ☐ Owned ☐ Leased ☐ Rented Proof of Address: ☐ Certificate of Incorporation / Formation ☐ Registration Certificate ☐ Others ________

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Address in the jurisdiction where entity is resident outside India for tax purposes:

Address Type ☐ Residential ☐ Business ☐ Registered Office ☐ Others *(Please specify)*: ________________

Line 1

Line 2

Landmark City

*ZIP/Post Code State/U.T. Code Country ISO 3166 Country Code

Tel. No. Fax No.

Email ID

Whether Office: ☐ Owned ☐ Leased ☐ Rented Proof of Address: ☐ Certificate of Incorporation / Formation ☐ Registration Certificate ☐ Others ________

For receiving transaction messages:

Register E-mail ID

Register Mobile No.

CONTACT PERSON (Any one of the authorized signatory for this account)

Name of person

Mobile No. Email ID

OPERATING INSTRUCTIONS

Mode of Operation: ☐ Singly ☐ Any 2 ☐ Jointly by All ☐ Prop / Authorised ☐ Any one Partner ☐ As per board resolution ☐ Others *(Please specify)*: ______

INITIAL PAYMENT DETAILS

Amount: (in figure) Mode of payment ☐ Cheque No. Date D D M M Y Y Y Y

Account Type : Current ☐ Savings ☐ Cash Credit / Overdraft ☐

☐ Debit my/ our existing A/C No: Tran ID ____________ Date D D M M Y Y Y Y

FOR TERM DEPOSIT ONLY

Currency: INR Deposit / Installment Amount Period Months Days

Auto Renewal ☐ Yes ☐ No Interest Payout: ☐ Cumulative (Reinvestment) / ☐ Monthly (MIC) / ☐ Quarterly (QIC)

Repayment A/c No

Note: 1) Interest payment is subject to RBI guideline from time to time. 2) Please refer the latest interest chart at the branch 3) Interest payment is subject to tax deduction at source.

CHANNEL REGISTRATION

Channel Access Request: *I/we would like to apply for access to the following channels*

☐ Corporate Internet Banking (*view only*) Cheque Book Facility Required: ☐

ENTITY KYC DETAILS

Identity Proof Document Type	ID No.	Issuing Authority	Place of Issue
Address Proof Document Type	ID No.	Issuing Authority	Place of Issue
Legal Proof Document Type	ID No.	Issuing Authority	Place of Issue

FORM DA-1 NOMINATION FORM (Applicable only for Sole Proprietorship)

☐ Yes, I wish to nominate(as per detail below) ☐ No, I declare that I do not wish to make nomination in my account.

"Nomination under section 45 ZA of the Banking Regulation Act, 1949 and Rule 2(1) of the Banking Companies (Nomination) Rules 1985 in respect of bank deposits.

I,_____________________________________the Sole Proprietor of the business carried in the name of M/s ____nominate

the following person to whom in the event of of my death, the deposit in this account, may be returned by Bank of China Limited."

Name Address: Same as primary Applicant ☐

If different

City

Pin code State Country

Relationship with depositor, *(if any)* *If Nominee is minor, his / her date of birth D D M M Y Y Y Y

*As the nominee is a minor on this date, I appoint (Guardian Name)

*Relationship with the minor *Address: same as primary applicant ☐

*If different

*City

*Pin code *State *Country

to receive the amount of the deposit in the account on behalf of the nominee in the event of my death during the minority of the nominee.

Signature of the Witness ____________________

Name ____________________

Address ____________________

____

Date ______________, Place ______________

Signature of the Witness ____________________

Name ____________________

Address ____________________

____

Date ______________, Place ______________

*Strike out if nominee is not a minor.

Resolution for opening Company account: (Resolution should be somewhat in the following terms)

We hereby certify that the following Resolution of the Board of Directors of the ____________________ Company Ltd., was passed at a meeting of the Board held on the ______________ and has been duly recorded in the Minute Book of the said Company.

Resolved that a banking account for the Company be opened with the Bank of China Limited and that the said Bank be and is hereby authorized to honour cheques, bills of exchange and Promissory notes drawn, accepted or made on behalf of the Company by ____________________ ____________________ and to act on any instructions so given relating to the account whether the same be overdrawn or not or relating to the transaction of the Company.

Chairman signature	Directors / Managing Agent signature	Secretary / Managing Agents signature

TERMS & CONDITIONS

I/We (In this context, "I/we", "my/ours" and "me/us" refers to all holders of the account) have read and understood the below Terms & Conditions and understand that any changes to the Terms & Conditions will be available at the branch

1. Account opening/service provision: All services, including opening of the account are subject to verification of information/documents provided by me/us. In the event this account is not opened, if I/we have initially funded the account in cheque for Rs. 20,000 or more, it will be refunded to me/us in the form of a DD/Cheque or PO only.
2. Services: All services will be provided by Bank of China Limited on a best effort basis.
3. Fees & Charges: Fees and Charges will be applicable on my/our account and for other services availed by me/us, as described in the schedule of charges at the Bank's branch. Service Taxes and other statutory imposts as applicable from time to time will be levied on all fees.
4. Change in Fees & Charges & Services: Any change/discontinuation of Fees & Charges, Services, or Interest Rate will be intimated to me/us in advance through letter/ email or other means.
5. Recovery: If no funds are available in the account to pay fees/charges, I/we authorize Bank of China Limited to set off any available credit, including amounts flowing into the account from collection proceeds or any deposits.
6. Account Freeze: We authorize the bank to freeze my/our account in the following circumstances, with intimation to me/us except where specified otherwise.
   a. If it is suspected by the bank that deposits pertaining to all cheque, DDs and other deposits / transactions by way of NEFT, RTGS, etc. in my account are not in accordance with or in violation of the Laws and Regulations applicable from time to time, the bank can freeze the account and we shall be responsible/accountable for such deposits/transactions.
   b. If it is suspected that my/our account is being misused as a money mule or as a channel for unauthorized money pooling or a conduit for any illegal activity (I / we will not receive a notice in this case).
7. Account Closure: I/we authorize the bank to close my/our account, with prior intimation to me/us, in case of:
   a. Balance in the account remains zero for 3 months or more;
   b. High occurrences of dishonoured payments from my/our account;
   c. Such other instance which the Bank may decide pursuant to any order, instructions, directions, guidelines issued/directed by any Court/Statutory/Regulatory authorities from time to time.
8. Transactions: Any instructions to Bank of China Limited regarding the account, both of a financial/non-financial nature (eg: Issuance of Cheque book/card, financial transactions, updation of personal details, etc.) will be provided by me/us through the authorized channels only, which will be specified by the bank, based on regulatory guidelines prevailing at that time. Bank of China Limited is not expected to act on instructions that do not come in through the authorized channels, but reserves the right to act upon its discretion to provide such facilities under extraordinary circumstances.
9. Cheque Book: No fresh cheque book will be issued if cheques of Rs. 1 crore and above are returned on four occasions during a financial year for want of sufficient funds or 8 cheque of below 1 crore are returned during a quarter for want of sufficient funds.
10. Corporate Internet Banking:

    View Access: View access option on Corporate Internet Banking Includes Account related information and all other access option introduced from time to time as may be decided by the Bank.
11. Statement via E-mail: In case of Email statement only once in a month and shall be sent to the given E-mail ID. And in all such cases physical statement shall not be sent.

Important Note

12. Existing Customer / CIF ID: In case of existing customers, not declaring their Customer / CIF ID and applying as a new customer, the Bank in such instances reserves the right to consolidate the Customer / CIF ID as it may decide, without any prior notice to me.

DECLARATION

I/We have read, understood and hereby agree to the terms stated in this Application Form as well as the Terms and Conditions governing the Current Account/ EEFC account, or the product being availed by me/us, and the various facilities/services such as corporate internet banking and such other services available under Bank of China Limited current account / EEFC account and as displayed at the Bank's branch and agree to abide by the same.

I/We understand that the said terms are subject to revision from time to time and I/we agree to keep ourselves updated of such changes and be bound by the terms as are in force from time to time.

I/We hereby declare, confirm and agree that the details and information furnished in the application form (and all documents referred or provided therewith) are true, correct complete and upto date in all respects to the best of my/our knowledge and belief.

I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/ we am/are aware that I/we may be held liable for it. I/We agree and Undertake to provide any further information that Bank of China Limited./its Affiliates may require. I/ we declare, confirm and agree that I/we have had no insolvency initiated against me/us nor have I/we ever been adjudicated insolvent, and that I/we have not at any time defaulted under any loan taken by me/us from any other bank/institution, or been in non-compliance of the applicable rules/regulations/guidelines in force from time to time, as framed by the Reserve Bank of India. I/we have read and agree to the charges applicable to Current account/EEFC account and all other facilities to be availed by me/us and hereby agree to bear the charges as revised from time to time by Bank of China Limited at its sole discretion.

My/Our personal KYC details may be shared with Central KYC Registry.

I/We hereby consent to receiving information from Central KYC Registry through Email on the above registered number/email address.

I /We confirm that the authorised signatories as approved by me/our Board/all the partners of the firm/all members of the Managing Committee, are authorised to operate the account. I/We agree and understand that Bank of China Limited reserves the right to reject any application without providing any reason. I/We agree and understand that Bank of China Limited reserves the right to retain the Application, and the documents provided therewith, including photographs, and will not return the same to me/us. I/We further agree that any false/misleading information given by me/ us, or suppression of any material fact will render my/our account liable for closure and further action. I/We also hereby agree to indemnify Bank of China Limited and their successors or assignees if any of the representations and declarations made here under by me/us is incorrect, false or misleading in any of its particulars.

I/We have read and understood the facilities available under Bank of China Limited Current Account/ EEFC account as listed at the Bank's branch. I/We also understand that continuation of the account is at Bank of China Limited's sole discretion and in case Bank of China Limited is dissatisfied with the conduct of the account, Bank of China Limited has the right to close the account after giving me/us 15 days' notice or withdraw the concessions in all or any service charges granted to me/us and/or charge Bank of China Limited Limited's applicable rates for services availed by me/us.

I/We hereby declare that in case of being professional(s)* by occupation, the said account will be used exclusively for our own transactions and not on behalf of my /our clients. *(not applicable for regulated and supervised individuals and entities)

I/We hereby further confirm having read and understood the applicable rules/ regulations/ instruction/ guidelines as framed by the Reserve Bank of India, including the FEMA regulations 2000 governing EEFC Accounts, and the Foreign Exchange Management Act, 1999, in force from time to time and agree to abide by and to be bound by all such applicable Law, rules, regulations and guide lines in force from time to time.

I/We hereby authorize Bank of China Limited to exchange, share or part with all the information/data provided herein including personal and business information with financial institutions/credit bureaus/agencies/ statutory bodies/other such persons, in order to facilitate the Bank to comply with its obligations under various applicable laws, regulations, and standards. I/ We shall not hold Bank of China Limited or its agents/representatives liable for using/sharing information provided herein for the said purpose.

I/We shall keep Bank of China Limited informed at all times, regarding any changes/alteration in my/our communication address and authorize the Bank to update any such change/alteration in my/our communication address that the Bank may be informed of by me and/or is brought to the notice of the Bank and hereby authorize Bank of China Limited to contact me /us on such changed/altered address. I/ We shall be solely responsible to ensure that Bank of China Limited has been informed of the correct address for communication within two weeks. I/We agree to indemnify Bank of China Limited against any fraud or any loss of damages suffered by Bank of China Limited due to my/ our providing of any incorrect communication address and/or failure on my/our part to communicate the change/alteration in my/our communication address.

E-Forex Declaration : I/we hereby confirm and declare that the Bank has informed me/us that it provides at my/our request an option to transmit and provide following information, through the means of an email to my /our given email address at all times:

1. Customer Debit/Credit Advices for Foreign Exchange Products
2. Intimation letters and Reminders
3. Swift Messages

I/We hereby acknowledge and confirm that I am/We are aware that such transmission may not be received properly due to various technical reasons, or may be not received by me on time, or read by or be known to any unauthorized persons. I/We agree that Bank of China Limited shall not be responsible in any manner whatsoever and shall also not be liable for any claims, loss, damage, cost, expense or liability arising therefrom.

I/we shall inform the Bank about any changes in my/our email id.

I/We hereby acknowledge and confirm that the Bank may stop/terminate this facility given to me/us by giving 15 days prior written notice to me/us. However, any such termination shall not affect anything done or any rights or liabilities accrued or incurred prior to the termination and all the above undertaking given by me/us to the Bank here under shall survive any such termination.

I/we here by authorise the bank to revert any monies wrongly credited in to my/our account.

Aadhaar Updation of Authorized Signatory/Beneficial Owner:

A. I submit my Aadhaar number and voluntarily give my consent to : (i. Use my Aadhaar Details to authenticate me from UIDAI. ii. Use my Mobile Number provided for sending SMS alerts to me. iii. Link the Aadhaar Number to all my existing/new/future accounts and customer profile (CIF) with your Bank.) B. I have been explained about the nature of information that may be shared upon authentication. I have been given to understand that my information submitted to the bank herewith shall not be used for any purpose other than mentioned above, or as per requirements of law. C. I hereby declare that all the above information voluntarily furnished by me is true, correct and complete.

Entity PAN updation:

Wherever the Current account is opened with Form 49A of Entity, it is mandatory to submit Entity’s PAN within 30 days of account opening to avoid debit freeze in the said account.

SECTION 25 COMPANIES DECLARATION

We hereby declare that :

1. The company had not applied its profits or income by way of dividend to its members.
2. The company had not altered objects clause of memorandum without prior approval of the Regional Director (this is in addition to provision to the provision of section 17).
3. Conditions of licence complied with.

FATCA-CRS TERMS AND CONDITIONS

The Central Board of Direct Taxes has notified on 7th August 2015 Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities/ appointed agencies/ withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

EXISTING BANKING RELATIONSHIPS

I/we declare that we do enjoy credit facilities with other Bank / Bank of China Limited ☐ Yes ☐ No NOC Status ☐ Yes ☐ No

Type of Arrangements ☐ Single ☐ Consortium ☐ Multiple Arrangements

For each existing banking relation, provide details below:

Name of Bank	Address	Borrowed Amount
1		
2		
3		
4		
5		

INFORMATION ON OTHER PRODUCT

Please help us to serve you better by giving your consent to receive information regarding latest products, services and offers from Bank of China Limited and its group companies.

I wish to receive updates on the latest products and offerings from Bank of China Limited ☐ Yes ☐ No

If yes, please specify mode of communication: ☐ Email ☐ Phone Call Please do not sign this form if it is BLANK. Please ensure all relevant sections and columns are completely filled to your satisfaction before signing the form. I/We declare, confirm, and agree with all the particulars and information given in the Application form (and all documents referred or provided therewith, including the terms and conditions, and declarations).

Signature 1 ____________________	Signature 2 ____________________	Signature 3 ____________________	Signature 4 ____________________
Name 1 ____________________	Name 2 ____________________	Name 3 ____________________	Name 4 ____________________

Signature of Bank Official in whose presence signed ____________________ Employee Number ☐☐☐☐☐☐☐☐☐☐☐

Date D D M M Y Y Y Y

☐ FORM 60

(Form of Declaration to be filled by an individual or a person (not being a company or firm) who do not have either a permanent account number or General Index Register Number and who makes payment in cash in respect of transaction specified in clauses (A) To (H) Of Rule 114B)

1. Full name and address of the declarant ____________________
2. Particulars of transaction: Opening of Current Account. 3. Amount of the transaction: ____________________
4. Are you a Tax Assesse ☐ Yes ☐ No
5. If yes,

a)	Details of Ward / Circle / Range where the last return of income was filed:	
b)	Reason for not having PAN	

6. If applied for PAN and it is not generated, enter date of application <space in date format to be provided> and acknowledgment number <space to be provided>.
7. If PAN not applied, fill estimated total income (including income of spouse, minor child, etc. as per section 64 of Income-tax Act, 1961) for the financial year in which the above transaction is held.

   a. Agricultural Income (Rs.) ____________________ b. Other than Agricultural Income (Rs.) ____________________"

   Details of the document being produced in Support of address in column (1) ____________________

   I ____________________, do hereby declare that what is stated above is true to the best of my knowledge and belief. I further declare that I do not have a Permanent Account Number and my/our estimated total income (including spouse, minor child, etc. as per Section 64 of Income-tax Act, 1961) computed in accordance with the provisions of Income-tax Act, 1961 for the financial year in which the above transaction is held will be less than maximum amount not chargeable to tax. Verified today,

   the ____________________ day of ______________ 20 _______.

   Date: ________________, Place ________________ Signature of Declarant ____________________

☐ LETTER OF PROPRIETORSHIP

Opening of a new account in the name of M/s____ . We refer to the captioned account to be opened with your Bank and declare as under:

I, the undersigned, am the sole proprietor of the firm and am solely responsible for liabilities thereof. I shall advise you in writing of any change that take place in the constitution of the firm and I will be liable to you for any obligation which may be standing in the firms name in your books on the date of the receipt of such change and until all such obligation shall have been liquidated. (Please sign without stamp)

Date: ___________________, Place ___________________ Name ____Signature of Declarant _________________

☐ LETTER OF PARTNERSHIP

We request you to take notice that we are trading in partnership under the name and style of M/s. ____

and that our firm has been registered under the provision of the Indian Partnership Act with Registrar of Firms at ____________________________________ as No. ____________________________________ and further request that all transactions entered into with you by all or any one or more of us and all obligations incurred by all or any one or more of us whether under the Signature of the firm or subscribed by the individual Signature of the person or persons entering upon the transaction or incurring the obligation, with or without co-obligants may be regarded by you as entered into and incurred for and on behalf of all of us jointly and severally and also the assets of the firm shall be liable for amount due to the bank. We also request you to take notice that everyone of us is authorised to draw, execute, endorse/accept and negotiate cheques, promissory notes, hundies, bills and other negotiable instruments on behalf of all of us and our firm and we also request you to take notice that our liability or liability of our firm to you as aforesaid shall not in any way be affected even if any third party joins in the transaction as co-obligant. We further hereby intimate to you that as per an agreement between the Bank of China Limited on the one part and ourselves on the other part to be estate whether joint or separate and person of each or every one of us is liable to you in respect of all or any of the aforesaid transactions or obligations.

The retiring partner shall be liable to issue notice to you regarding retirement in the manner required under section 32 of the Partnership Act and such retiring partner/s shall be liable and continue to be liable to you for any act done by any of the partners until public notice is given of the retirement as aforesaid.

Further, in the matter of making payment towards the liability arising in the account or acknowledging the liabilities or any part thereof as and when called upon by the bank to do so for the specific purpose saving limitation we declare that the payment or acknowledgement/s made or given by any one or more of us shall be binding on all of us jointly and / or severally and that the said payment/s and acknowledgement/s so made given by one or more of us shall save limitation against all of us jointly and or severally for the purpose of Law of Limitation, as such acknowledgement/s of debt and or payment/s shall be taken as given and made as agent/s of the other partners. This letter shall operate and be effective notwithstanding any provision on our deed of partnership which may conflict with any of the terms herein. (Please sign without stamp)

Place: ________________ Date D D M M Y Y Y Y Name: ____ Signature _____________________

Place: ________________ Date D D M M Y Y Y Y Name: ____ Signature _____________________

Place: ________________ Date D D M M Y Y Y Y Name: ____ Signature _____________________

Place: ________________ Date D D M M Y Y Y Y Name: ____ Signature _____________________

CUSTOMER PROFILE SHEET

Source of Fund ☐ Business Income ☐ Donation / Grant ☐ Borrowing ☐ Equity investment Others: Please specify _______________________

End Use of Funds (applicable for Government organization only) ____

Group Company Detail *(Following details to be mentioned for each company)*

Name of Group Company	Location	Nature of Business	Banking With

Any other relationship with the bank (of the entity / Group company / personal relationship): (Please specify the product, which the customer avails from the Bank)

Name of Group Company	Product / Services availed

Date of Commencement of Business D D M M Y Y Y Y No of years in Current Business : ☐☐ Years ☐☐ Months

Trade Finance ☐ Yes ☐ No

Principal Place of Operation ____ Whether entity has any foreign collaboration ☐ Yes ☐ No

Customer Business Detail

Business / Sales Turnover for (Last FY) in INR, ____________________ Expected Annual Business / Sales Turnover in (Current FY) in INR,____________________

Net Profits of the Company (Last FY) in INR, ______________________ Expected float of fund in INR ____

Accounts with Other Bank ☐ Yes ☐ No *If yes, following details to be filled:*

Name of Bank	Value of total relationship in INR	CA	CMS	Trade & Forex
1.		☐	☐	☐
2.		☐	☐	☐

Number of years for which the relationship has existed

Forex Remittances (Total Values): __________________

Part - A

Transactions you expect to perform (please check for both amount and number of transactions for deposit and withdrawal)

Expected Deposit Amount per month	Expected Withdrawal per month
Cash (Rs.): ____________________	Cash (Rs.): ____________________
In Base Location: _________% In Non Base Location _________%	In Base Location: _________% In Non Base Location _________%

Expected no. of Deposit per month	Expected no. of Withdrawal per month
Cash :____________________	Cash :____________________
Cheque / Draft :____________________	Cheque / Draft :____________________
Fund Transfer :____________________	Fund Transfer :____________________
Forex :____________________	Forex :____________________

Part - B

Applicable only if the customer is engaged in international Trade / Forex :

IEC Code No.: ______________________ Date of Issuance of IEC code D D / M M / Y Y Y Y

Type of customer: ☐ Exporter ☐ Importer ☐ Exporter / Importer

Actual Annual Turnover Import (Last FY): ______________________________ Actual Annual Turnover Export (Last FY): ______________________________

Expected Annual Turnover Import (Current FY)) __________________________ Expected Annual Turnover Export (Current FY): __________________________

Principal Suppliers (Imports)

Name	City	Country

Principal Suppliers (Exports)

Name	City	Country

Type of Product / Services / Goods : Please specify
Expected Value of Forex Transaction in a Month

Foreign Inward remittances____________________________________Of which Export______________________________________

Foreign Outward remittances___________________________________Of which Import______________________________________

CUSTOMER DECLARATION

I / we hereby confirm the the information provided by me/us on this form is true, correct and complete.

Signature __________________ Signature __________________ Signature ____________________ Signature ____________________

Name ____________________ Name ____________________ Name ______________________ Name ______________________

中国银行 印度分行
BANK OF CHINA INDIA BRANCH

FATCA/CRS FORM

Details of ultimate beneficial owner including additional FATCA & CRS information.

Name of the Entity

Existing Customer ☐ Yes ☐ No If Yes, CIF ID:

PAN or ☐ Form 60/61 and 49A

Address for Tax purpose ☐ Communication ☐ Registered ☐ Other If other, please mention address below:

Address

City

Pincode State Country

Address type for tax purpose ☐ Residential ☐ Business ☐ Registered Office

Please tick the applicable tax resident declaration: (Any one)

☐ Entity is a tax resident of India and not resident of any other country **OR**

☐ Entity is a tax resident of the country/ies mentioned in the table below

Please indicate the country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below.

Country	**Tax Identification Number%** *(In case Tax Identification Number is not available, kindly provide functional equivalent)*	**Identification Type** *(TIN or Other% please specify)*

% In case Tax identification number is not available, kindly provide functional equivalent.

In case the Entity's Country of Incorporation/Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code[1] here ___________________

Owner-documented FFI's[9] should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E

FATCA-CRS Declaration (Please consult your professional tax advisor for further guidance on FATCA-CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)

We are a ☐ Financial institution[2] ☐ Direct reporting NFE[3] (please tick as appropriate)	GIIN: _______________ *Note: If you do not have a GIIN but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below:* Name of sponsoring entity: _________________	GIIN not available (please tick as applicable): ☐ Applied for Following options available only for Financial Institutions: ☐ Not required to apply for (Please specify sub-category)[4] Please provide with Form W8-BEN-E, duly filled in ☐ Not obtained – Non-participating FI

PART B *(please fill any one as appropriate to be filled by NFEs other than Direct Reporting NFEs)*

1	Is the Entity a publicly traded company[5] (that is, a company whose shares are regularly traded on an established securities market)	☐ Yes (If yes, please specify any one stock exchange upon which the stock is regularly traded) Name of the stock exchange: ___________________________
2	Is the Entity a related entity of a publicly traded company[6] - a company whose shares are regularly traded on an established securities market	☐ Yes Name of the listed company, the stock of which is regularly traded: __________________________ (If yes, please specify any one stock exchange upon which the stock is regularly traded) Name of the stock exchange : ___________________________ Nature of relation: ☐ Subsidiary of the listed company ☐ Controlled by a listed company
3	Is the Entity an active NFE[7]	☐ Yes Nature of business: ____ Please specify the sub-category of Active NFE: ____________________ (mention code - refer 2c of Part D)
4	Is the Entity a passive NFE[8]	☐ Yes Nature of business: ____

[1]Refer 3(VIII) of Part D, [2]Refer 1 of Part D, [3]Refer 3(VII) of Part D, [4]Refer 1A of Part D, [5]Refer 2a of Part D, [6]Refer 2b of Part D, [7]Refer 2c of Part D, [8]Refer 3(II) of Part D, [9]Refer 3(VI) of Part D

Certification

I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions & Definitions) and hereby confirm that the information provided by us on this Form is True, Correct, and Complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions above and hereby accept the same.

Name

Place ______________________________ Signature of Designated Branch Official

Date D D M M Y Y Y Y Designation of Authorised Signatory

Branch Declaration:

We have made best efforts to identify the beneficial owners/controlling persons of the said Company. The details furnished above have been verified from information available through constitutional documents, public domain.

Name

Place ______________________________

Date D D M M Y Y Y Y Signature of Designated Branch Official

FATCA-CRS INSTRUCTIONS & DEFINITIONS

Financial Institution (FI) - The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.

- **Depository institution:** is an entity that accepts deposits in the ordinary course of banking or similar business.
- **Custodial institution** is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
  (i) The three financial years preceding the year in which determination is made; or
  (ii) The period during which the entity has been in existence, whichever is less
- **Investment entity** is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
  (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading; or
  (ii) Individual and collective portfolio management; or
  (iii Investing, administering or managing funds, money or financial asset or money on behalf of other persons

OR

- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

  An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:
  (i) the three-year period ending on 31 March of the year preceding the year in which the determination is made; or
  (ii) the period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06)

- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

  Non-participating financial institution means a financial institution defined in clause 1 of the said instructions, but does not include,-
  (a) An Indian financial institution; or
  (b) other jurisdiction, being a jurisdiction that has in effect an agreement with the United States of America to facilitate the implementation of FATCA (herein after referred to as other partner jurisdiction), financial institution, other than a financial institution treated as a non-participating financial institution pursuant to significant non-compliance of the FATCA agreement in an agreement between the United States of America and other partner jurisdiction.

(A) FIs not required to apply for GIN:

Code	Sub-category	Code	Sub-category
01	Governmental Entity, International Organization or Central Bank	08	Trustee of an Indian Trust
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank	09	FI with a local client base
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund	10	Non-registering local banks
04	Entity is an Indian FI solely because it is an investment entity	11	FFI with only Low-Value Accounts
05	Qualified credit card issuer	12	Sponsored investment entity and controlled foreign corporation
06	Investment Advisors, Investment Managers & Executing Brokers	13	Sponsored, Closely Held Investment Vehicle
07	Exempt collective investment vehicle	14	Owner Documented FFI

(2) Non - financial entity (NFE) - Any entity that is not a financial institution.

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

b. Related entity of a publicly traded company

The NFE is a related entity of an entity which is regularly traded on an established securities market;

c. Active NFE : (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;

Code	Sub-category
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: • It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; • It is exempt from income tax in India; • It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; • The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:- (I) an Investor Protection Fund referred to in clause (23EA); (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (III) an Investor Protection Fund referred to in clause (23EC), of section 10 of Income-tax Act, 1961

(3) Other Definitions:

(I) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(II) Passive NFE

The term passive NFE means

(i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or

(ii) an investment entity defined in the instructions.

(iii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(III) Passive income

The term passive income includes income by way of :

(i) Dividends, (ii) Interest (ii) Income equivalent to interest, (iv) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE (v) Annuities (vi) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income (vii) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets, (viii) The excess of foreign currency gains over foreign currency losses (ix) Net income from swaps (x) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(IV) Controlling persons / Beneficial owners

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money- Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

For Partnership / Limited Liability Partnership (LLP) / Association of Persons (AOP) / Society / Trust / Club / University / Institution:

The declaration should be signed by an active/designated partner in case of Partnership Firm/LLP, a trustee in case of Trust, a senior member in case of AOP, Society, Club and member of the Managing Committee in case of University and Institution.

Pursuant to guidelines on identification of Beneficial Ownership issued vide RBI circular no. DBOD.AML.BC. No.71/14.01.001/2012-13 dated January 18, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;

ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or

iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person (CP) Type:

Code	Sub-category	Code	Sub-category
C01	CP of legal person-ownership	C09	CP of legal arrangement-Other-settlor equivalent
C02	CP of legal person-other means	C10	CP of legal arrangement-Other-trustee equivalent
C03	CP of legal person-senior managing official	C11	CP of legal arrangement-Other-protector equivalent
C04	CP of legal arrangement-trust-settlor	C12	CP of legal arrangement-Other-beneficiary equivalent
C05	CP of legal arrangement-trust-trustee	C13	CP of legal arrangement-Other-other equivalent
C06	CP of legal arrangement-trust-protector	C14	CP of legal arrangement-Author of Trust
C07	CP of legal arrangement-trust-beneficiary	C15	Unknown
C08	CP of legal arrangement-trust-other		

(V) Specified U.S. person – A U.S person other than the following:

(i) a corporation the stock of which is regularly traded on one or more established securities markets;
(ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (I);
(iii) the United States or any wholly owned agency or instrumentality thereof;
(iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
(v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
(vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
(vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
(viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
(ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
(x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
(xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
(xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
(xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(VI) Owner documented FFI

An FFI meets the following requirements:

(i) The FFI is an FFI solely because it is an investment entity;
(ii) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
(iii) The FFI does not maintain a financial account for any nonparticipating FFI;
(iv) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
(v) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FFI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(VII) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

(VIII) Exemption code for U.S. persons

(A) FIS not required to apply for GIN:

Code	Sub-category	Code	Sub-category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37) of Internal Revenue Code	H	A regulated investment company as defined in section 851 of Internal Revenue Code or an entity registered at all times during the tax year under the Investment Company Act of 1940
B	The United States or any of its agencies or instrumentalities	I	A common trust fund as defined in section 584(a) of Internal Revenue Code
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities	J	A bank as defined in section 581 of Internal Revenue Code
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(I) of Internal Revenue Code	K	A broker
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(I) of Internal Revenue Code	L	A trust exempt from tax under section 664 or described in section 4947(a)(1) of Internal Revenue Code
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state	M	A tax exempt trust under a section 403(b) plan or section 457(g) plan of Internal Revenue Code
G	A real estate investment trust		

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DECLARATION OF BENEFICIAL OWNERSHIP

Name of the Customer/Company

Registered Number
(Wherever applicable)

Registered Address

The Customer/Company as stated above hereby confirms and declares that on the below date (Please tick the correct option - option 3 is applicable only for Company)

1. ☐ The following **natural person(s)** (listed in **Table below**) exercise control or ultimately have a controlling ownership interest i.e. having ownership/entitlement of more than 25% (in case of Company) or **more than 15%** (in case of Non-Companies)of capital/profits/property or controlling through voting rights, agreement, arrangement etc.

OR

2. ☐ There are no **natural person(s)** who exercise control or ultimately have a controlling ownership interest as stated above, therefore details of:
   - ○ All partner(s)(for partnership)/trustees (for trust)/senior managing officials (for unincorporated bodies) who are natural person(s) are stated in the below Table
   - ○ Natural person(s) holding the position of directors/senior management in the Company are given in the below Table.

(*If you have ticked any of the above, please complete the Table below before signing the declaration)

Sr No.	Full Name of Beneficial owner/controlling natural person(s)	Date of Birth	Nationality	Address	Type of KYC Documents		Controlling ownership interest (%)
					Identity	Address	

OR

3. ☐ The Company is listed on ______________________________ (Name of the Stock Exchange)

or is a majorly owned subsidiary of______________________________ (Name of the listed Company)

listed on ______________________________ (Name of the Stock Exchange)

The Customer/Company undertakes that the facts stated above are true and correct. The Customer/Company also undertakes and agrees that it will notify Axis Bank without delay of any changes in the controlling persons / shareholders, person exercising control or having controlling ownership interest in the Company / Partnership / LLP / AOP / Society / Trust / Club / University / Institution, as declared in the table above.

For and on behalf of [Name of Company / Partnership / LLP / AOP / Society / Trust / Club / University / Institution]:

Signature of the Authorized Official*:

Full Name of the Authorized Official:

Designation / Position:

Date D D M M Y Y Y Y

* The declaration should be signed by:

i. An active/designated partner in case of Partnership Firm/LLP, a trustee in case of Trust, a senior member in case of AOP, Society, Club and member of the Managing Committee in case of University and Institution

ii. The official authorized to sign the Board Resolution in case of Company.

FOR BANK USE ONLY

A/C to be opened at Branch Name

Sol Id Date D D M M Y Y Y Y

A/C to Report Code No. of add-on forms enclosed:

DECLARATION BY BRANCH

1. I hereby certify that this account opening form is complete in all respects and relevant documents have been obtained as per the KYC guidelines of the Bank and performed due diligence to verify the genuineness of the customer.
2. In case of signature mismatch, I certify that the customer has personally met and has signed in my presence. Kindly process the request.
3. We have made best efforts to identify the beneficial owner(s) of the said entity. The details furnished above have been verified from information, wherever available, in public domain.

Name

Place ______________________

Date D D M M Y Y Y Y Signature of Designated Branch Official

ANNEXURE - 1

DETAILS OF RELATED PERSON

Request Type ☐ Addition of Related Person ☐ Deletion of Related Person ☐ Update Related Person details

KYC Number of Related Person *(if available*)* _______ *If KYC number is available, only 'Related Person Type' and 'Name' is mandatory.

Related Person Type ☐ Director ☐ Promoter ☐ Trustee ☐ Partner ☐ Authorised Signatory
☐ Court Appointed Official ☐ Beneficiary ☐ Corporate Internet Banking Viewer ☐ Corporate Internet Banking Approver/Enterer
☐ Corporate Internet Banking Super Admin ☐ LOA ☐ POA

	Prefix	First Name	Middle Name	Last Name
Name				
Middle Name (If any)				
Father / Spouse Name				
Mother Name				

Date of Birth D D / M M / Y Y Y Y   Gender ☐ Male ☐ Female ☐ Others

Marital Status ☐ Married ☐ Unmarried ☐ Others   Nationality ☐ IN- Indian ☐ Others (ISO 3166 Country Code __)

Residential Status ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector)
☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)
☐ B-Business ☐ X-Not Categorised

Tick if applicable ☐ Residence for tax purposes in jurisdiction(s) outside India

Enter Details if ticked for above

ISO 3166 Country Code of Jurisdiction of Residence __

Tax Identification Number or equivalent (If issued by jurisdiction) __

Place / City of Birth __   ISO 3166 Country Code of Birth __

Proof of Identity:

☐ A- Passport Number __   Passport Expiry Date D D / M M / Y Y Y Y

☐ B- Voter ID Card __

☐ C- PAN Card __

☐ D- Driving Licence __   Driving Licence Expiry Date D D / M M / Y Y Y Y

☐ E- UID (Aadhaar) __

☐ F- NREGA Job Card __

☐ Z- Others (any document notified by the central government) __   Identification Number __

Proof of Address :

Address Type ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified ☐ Passport ☐ Driving Licence
☐ E- UID (Aadhaar) ☐ Voter Identity Card ☐ NREGA Job Card ☐ Others, Please specify ______

Line 1 __

Line 2 __

Landmark __   City __

Pin Code __   State/U.T. Code __   Country __   ISO 3166 Country Code __

Contact Details:

Name __

Tel. No. __   Mobile No. __

Email ID __

Date D D / M M / Y Y Y Y

Place ______

Signature __

Affix recent colour photograph of 3.5 cm × 2.5 cm size / Passport size

Applicant Declaration:

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found tobe false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be held liable for it.

My/Our personal KYC details may be shared with Central KYC Registry.

I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

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ANNEXURE - 2

DETAILS OF CONTROLLING PERSON

Request Type ☐ Addition of Controlling Person ☐ Deletion of Controlling Person ☐ Update Controlling Person details

Percentage of Shareholding or Capital Profits, if applicable

Controlling person type code refer instructions - *(refer Fatca Declaration Form)*

KYC Number of Controlling Person (if available*)

Type of control:

In case of Legal Person ☐ Ownership ☐ Other Means ☐ Senior Managing Officials

In case of Trust ☐ Settlor ☐ Trustee ☐ Protector ☐ Beneficiary ☐ Other, Please specify ________

In case of Other Legal arrangement ☐ Settlor-Equivalent ☐ Trustee-Equivalent ☐ Protector-Equivalent

☐ Beneficiary-Equivalent ☐ Other-Equivalent, Please specify ____________________

	Prefix	First Name	Middle Name	Last Name
Name				
Middle Name (If any)				
Father / Spouse Name				
Mother Name				

Date of Birth D D / M M / Y Y Y Y Gender ☐ Male ☐ Female ☐ Others

Marital Status ☐ Married ☐ Unmarried ☐ Others Nationality ☐ IN- Indian ☐ Others (ISO 3166 Country Code

Residential Status ☐ Resident Individual ☐ Non Resident Indian ☐ Foreign National ☐ Person of Indian Origin

Occupation Type ☐ S-Service ☐ Private Sector ☐ Public Sector ☐ Government Sector)

☐ O-Others ☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)

☐ B-Business ☐ X-Not Categorised

Tick if applicable ☐ Residence for tax purposes in jurisdiction(s) outside India

Enter Details if ticked for above

ISO 3166 Country Code of Jurisdiction of Residence

Tax Identification Number or equivalent (If issued by jurisdiction)

Place / City of Birth ISO 3166 Country Code of Birth

Proof of Identity:

☐ A- Passport Number Passport Expiry Date D D / M M / Y Y Y Y

☐ B- Voter ID Card

☐ C- PAN Card

☐ D- Driving Licence Driving Licence Expiry Date D D / M M / Y Y Y Y

☐ E- UID (Aadhaar)

☐ F- NREGA Job Card

☐ Z- Others (any document notified by the central government) Identification Number

Proof of Address :

Address Type ☐ Residential ☐ Business ☐ Registered Office ☐ Unspecified ☐ Passport ☐ Driving Licence

☐ E- UID (Aadhaar) ☐ Voter Identity Card ☐ NREGA Job Card ☐ Others, Please specify ____________________

Line 1

Line 2

Landmark City

Pin Code State/U.T. Code Country ISO 3166 Country Code

Contact Details:

Please Tick [✓] The Applicable Tax Resident Declaration: (Any One)*

☐ I am a tax resident of India and not resident of any other country

☐ I am a tax resident of the country/ies mentioned in the table below - Country, Tax Identification Number, Identification Type (TIN or Other, please specify)"

Country	Tax Identification Number	Identification Type *(TIN or Other, please specify)*

Contact Details:

Name

Tel. No. Mobile No.

Email ID

Date D D / M M / Y Y Y Y Signature

Place

Affix recent colour photograph of 3.5 cm × 2.5 cm size / Passport size

Applicant Declaration:

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found tobe false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be held liable for it.

My/Our personal KYC details may be shared with Central KYC Registry.

I/We hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.